

# Photon structure and energy dependence of diffraction

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## Outline:

- Cross section fluctuations for proton: models and applications for soft coherent pA diffraction
- Cross section fluctuations for light vector mesons and applications for coherent photoproduction in heavy-ion UPCs
- Cross section fluctuations for real photons and  $\gamma$ A scattering in UPCs

**CFNS Adhoc Workshop: Target fragmentation and diffraction physics with novel processes: Ultraperipheral, electron-ion, and hadron collisions,  
Online meeting, Feb 9-11, 2022**

# Large $l_c$ and hadronic structure of projectiles

- At high energies, the longitudinal distance essential for a given process increases with the beam momentum  $p_{\text{lab}}$ , [Feinberg, Pomeranchuk, Suppl. Nuovo Cim. III \(1956\) 652](#); [Gribov, Ioffe, Pomeranchuk, Yad. Fiz. 2 \(1965\) 768](#); [Ioffe, PLB 30 \(1969\) 123](#)

$$l_c = \frac{1}{\Delta E} = \left( \sqrt{M^{*2} + p_{\text{lab}}^2} - \sqrt{m_h^2 + p_{\text{lab}}^2} \right)^{-1} \simeq \frac{2p_{\text{lab}}}{M^{*2} - m_h^2} \gg R_{\text{target}}$$

- In this case, the projectile of mass  $m_h$  can fluctuate into hadronic fluctuations of mass  $M^*$ , whose interactions with the target are Lorentz-dilated.
- This picture is especially fruitful for description of diffractive dissociation in target frame.
- In soft hadron-nucleus scattering, it is realized via eigenstates of the scattering operator (cross section fluctuations), [Good, Walker, PR 120 \(1960\) 1857](#)
- In QCD, it is realized as quark-gluon color dipoles of different transverse sizes; color fluctuations of the gluon density correlated with proton size, [Frankfurt, Strikman, Treleani, Weiss, PRL 101 \(2008\) 202003](#); fluctuations of the proton shape (hot spots), [Mäntysaari, Schenke, PRL 117 \(2016\) 5, 052301](#); [Cepila, Contreras, Tapia Takaki, PLB 766 \(2017\) 186](#).
- Correspondence between fluctuations and dipole model valid only at  $t=0$ !

# Good-Walker cross section fluctuations

- The notion of composite structure of energetic projectiles can be realized by expansion in terms of eigenstates of the scattering operator, [Good, Walker, PR 120 \(1960\) 1857](#)

$$|\Psi\rangle = \sum_k c_k |\Psi_k\rangle \quad \text{Im}T|\Psi_k\rangle = t_k |\Psi_k\rangle$$

$$\sum_k |c_k|^2 = 1 .$$

- Total diffractive cross section:

$$\left(\frac{d\sigma}{dt}\right)_{t=0}^{\text{diff}} = \frac{1}{16\pi} \sum_k |\langle \Psi_k | \text{Im}T | \Psi \rangle|^2 = \frac{1}{16\pi} \sum_k |c_k|^2 t_k^2 \equiv \frac{1}{16\pi} \langle \sigma^2 \rangle$$

- Elastic cross section:

$$\left(\frac{d\sigma}{dt}\right)_{t=0}^{\text{el}} = \frac{1}{16\pi} |\langle \Psi | \text{Im}T | \Psi \rangle|^2 = \frac{1}{16\pi} \left( \sum_k |c_k|^2 t_k \right)^2 \equiv \frac{1}{16\pi} \langle \sigma \rangle^2 .$$

- Diffractive dissociation (inelastic diffraction) is possible only if different fluctuations interact with different cross sections, i.e. when there are cross section fluctuations:

$$\left(\frac{d\sigma}{dt}\right)_{t=0}^{\text{diss}} = \left(\frac{d\sigma}{dt}\right)_{t=0}^{\text{diff}} - \left(\frac{d\sigma}{dt}\right)_{t=0}^{\text{el}} = \frac{1}{16\pi} \left( \langle \sigma^2 \rangle - \langle \sigma \rangle^2 \right)$$

# Probability of cross section fluctuations

- In applications, it is more convenient to work with continuous version, [Miettinen, Pumplin, PRD 18 \(1978\) 1696](#); [Blättet, Baym, Frankfurt, Heiselberg, Strikman, PRD 47 \(1992\) 2761](#):

$$\sum_k |c_k|^2 \rightarrow \int d\sigma P(\sigma),$$

$$\langle \sigma \rangle = \int d\sigma P(\sigma) \sigma,$$

$$\langle \sigma^2 \rangle = \int d\sigma P(\sigma) \sigma^2$$

- Except for small  $\sigma$ , the distribution  $P(\sigma)$  is non-perturbative and needs modeling. It satisfies the following constraints:

$$\begin{aligned} \int d\sigma P(\sigma) &= 1, \\ \int d\sigma P(\sigma) \sigma &= \sigma_{\text{tot}} \\ \int d\sigma P(\sigma) (\sigma^2 / \sigma_{\text{tot}}^2 - 1) &= \left( \frac{d\sigma}{dt} \right)_{t=0}^{\text{diss}} / \left( \frac{d\sigma}{dt} \right)_{t=0}^{\text{el}} \equiv \omega_\sigma \end{aligned}$$

- **Small- $\sigma$**  from quark counting rule, where  $n_q$  is number of valence quarks:

$$\begin{aligned} P_h(\sigma) \propto \sigma^{n_q-2} &\rightarrow P_p(\sigma) \sim \sigma, \\ P_\pi(\sigma) &\sim \text{const} \end{aligned}$$

- For definiteness, Gaussian decay for large  $\sigma$ .



# Cross section fluctuations for protons

- Distribution  $P(\sigma)$  for protons, Blättet, Baym, Frankfurt, Heiselberg, Strikman, PRD 47 (1992) 2761:

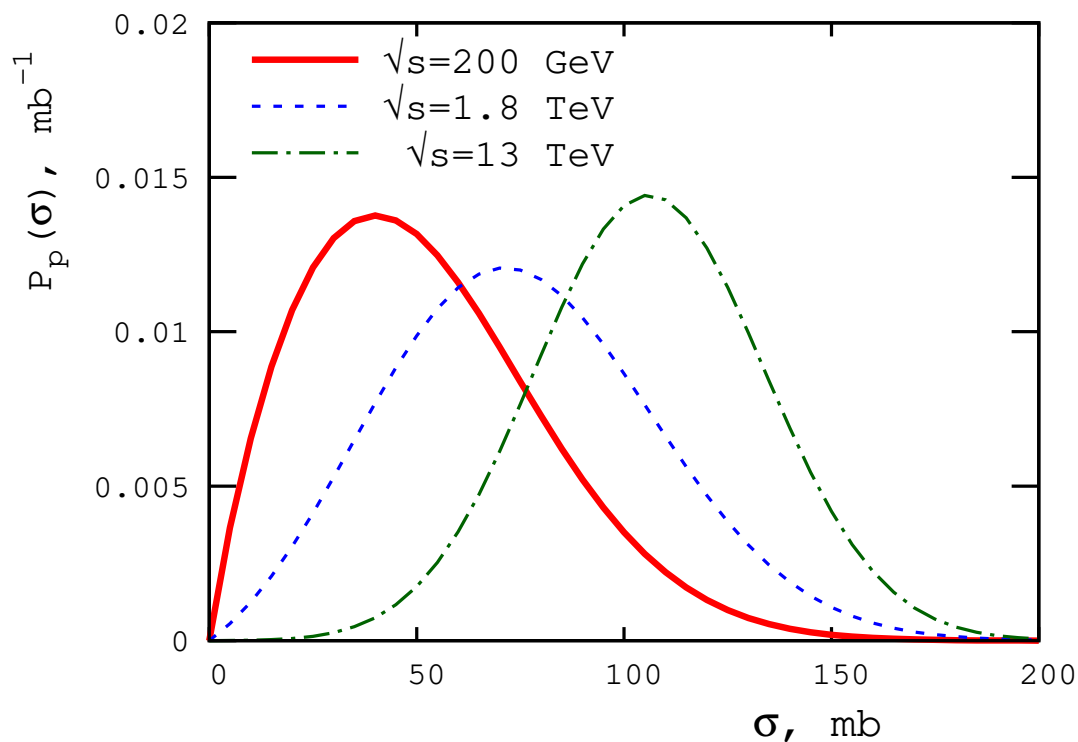
$$P_p(\sigma) = N_p \frac{\sigma/\sigma_0}{\sigma/\sigma_0 + 1} e^{-(\sigma - \sigma_0)^2 / (\Omega \sigma_0)^2}$$

- Width of fluctuations  $\omega_\sigma \rightarrow$  from data on anti-p-p single diffraction and nucleon-deuteron total cross section data at fixed target and collider energies, Guzey, Strikman, PLB 633 (2006) 245

$$\omega_\sigma(s) = \begin{cases} \beta \sqrt{s}/(24 \text{ GeV}), & \sqrt{s} < 24 \text{ GeV}, \\ \beta, & 24 < \sqrt{s} < 200 \text{ GeV}, \\ \beta - 0.056 \ln(\sqrt{s}/200 \text{ GeV}), & \sqrt{s} > 200 \text{ GeV}, \end{cases}$$

where  $\beta = 0.30 \pm 0.05$ .

- Resulting  $P(\sigma)$  for protons, Frankfurt, Guzey, Stasto, Strikman, review submitted to ROPP



# pA coherent diffraction dissociation

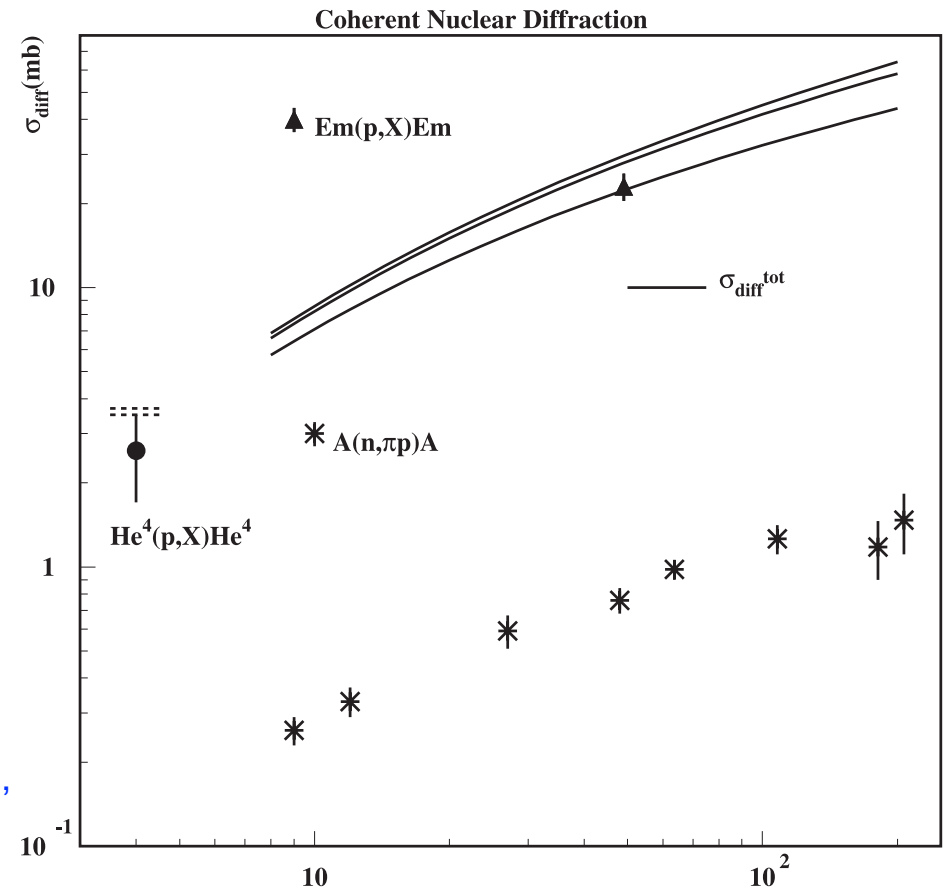
- This formalism gives rise to diffraction dissociation of hadrons on nuclei and provides a good description of the available fixed-target data, [Frankfurt, Guzey, Strikman, J. Phys. G: Nucl. Part. Phys. 27 \(2006\) R27](#)

$$\sigma_{diff}^{pA} = \int d^2b \left[ \int d\sigma P_p(\sigma) \langle p | |\Gamma_A(b)|^2 | p \rangle - \left( \int d\sigma P_p(\sigma) \langle p | \Gamma_A(b) | p \rangle \right)^2 \right]$$

$$\Gamma_A(b) = 1 - e^{-\frac{\sigma}{2} T_A(b)}$$

$$T_A(b) = \int dz \rho_A(b, z)$$

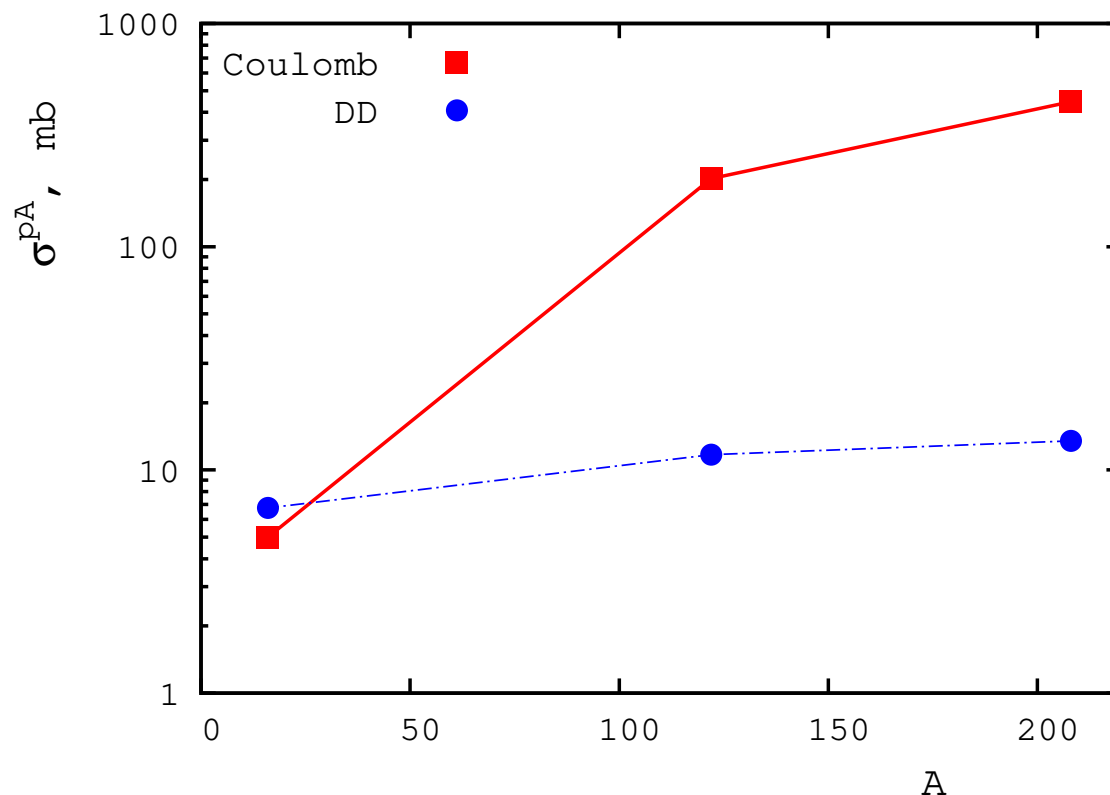
- Cross section fluctuations also account for major part of large  $E_T$  fluctuations in heavy-ion collisions at CERN SPS, [Heiselberg, Baym, Blättel, Frankfurt, Strikman, PRL 67 \(1991\) 2946](#), and strongly affect charge particle multiplicity in pA scattering at 5 TeV, [ATLAS Coll., EPJC 76 \(2016\) 4, 199](#) → also expected in  $\gamma A$



# pA coherent diffraction dissociation (2)

- At collider energies and in wide range of impact parameters, inelastic diffraction is strongly suppressed due to blackness of interactions ( $\omega_\sigma \rightarrow 0$ )  $\rightarrow$  it competes with the e.m. mechanism, Guzey, Strikman, PLB 633 (2006) 245

$$\sigma_{e.m.}^{pA} = \int \frac{d\omega}{\omega} N_{\gamma/A}(\omega) \sigma_{\text{tot}}^{\gamma p}(s)$$



LHC energies, Frankfurt,  
Guzey, Stasto, Strikman, review  
submitted to ROPP

# Cross section fluctuations for $\rho$ mesons

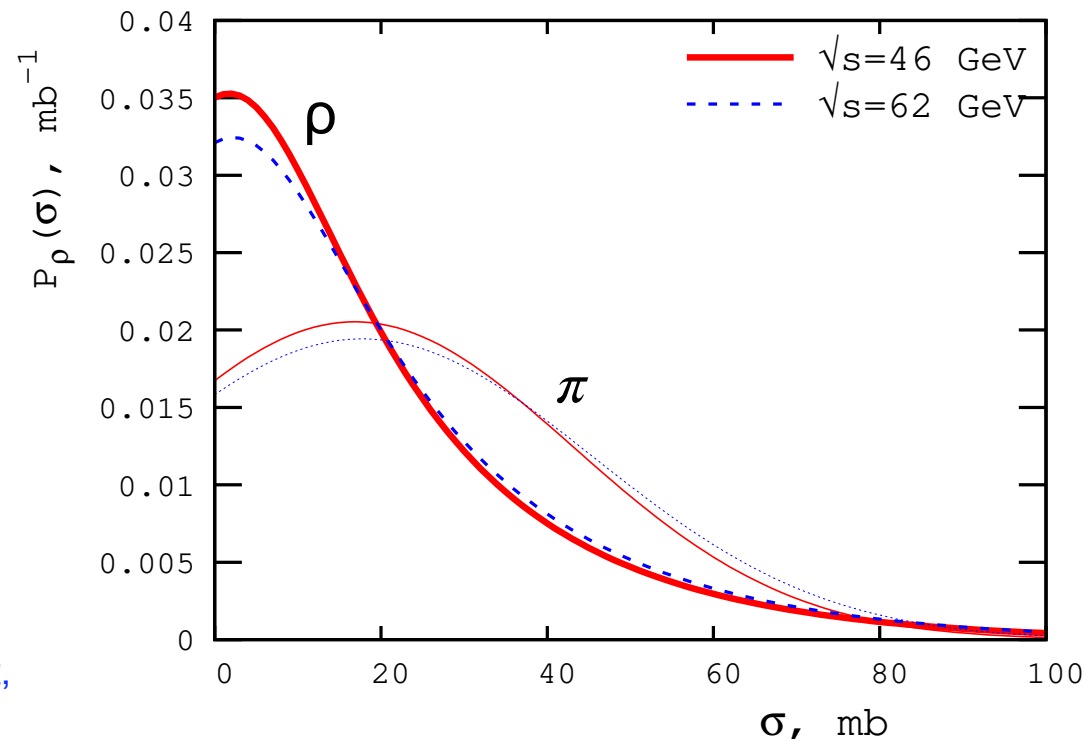
- Similarly to the proton, one can construct  $P(\sigma)$  for pions, Blättel, Baym, Frankfurt, Strikman, PRL 70 (1992) 896 and  $\rho$  mesons, Frankfurt, Guzey, Strikman, Zhalov, PRB 752 (2016) 51
- Contribution of **small- $\sigma$**  fluctuations is enhanced compared to pion due to point-like  $\gamma$ - $\rho$  coupling (VMD), which is also supported by HERA data on  $\sigma(\gamma p \rightarrow \rho p)$  cross section

$$P_\rho(\sigma) = N_\rho \frac{1}{(\sigma/\sigma_0)^2 + 1} e^{-(\sigma - \sigma_0)^2 / (\Omega \sigma_0)^2}$$

- In addition, the width of fluctuations  $\omega_\sigma$  is enhanced by **small- $\sigma$**  fluctuations with large  $p_T$  and  $M^*$ . Using relation (factorization) between  $\sigma(\gamma p \rightarrow Xp)$  and  $\sigma(\pi p \rightarrow Xp)$

$$\omega_\sigma^\rho = \left( \frac{f_\rho}{e} \right)^2 \frac{\sigma_{\gamma p} \sigma_{\pi p}}{\sigma_{\rho N}^2} \frac{3}{2} \omega_\sigma^\pi$$

- Resulting  $P(\sigma)$  for  $\rho$  mesons, Frankfurt, Guzey, Stasto, Strikman, review submitted to ROPP

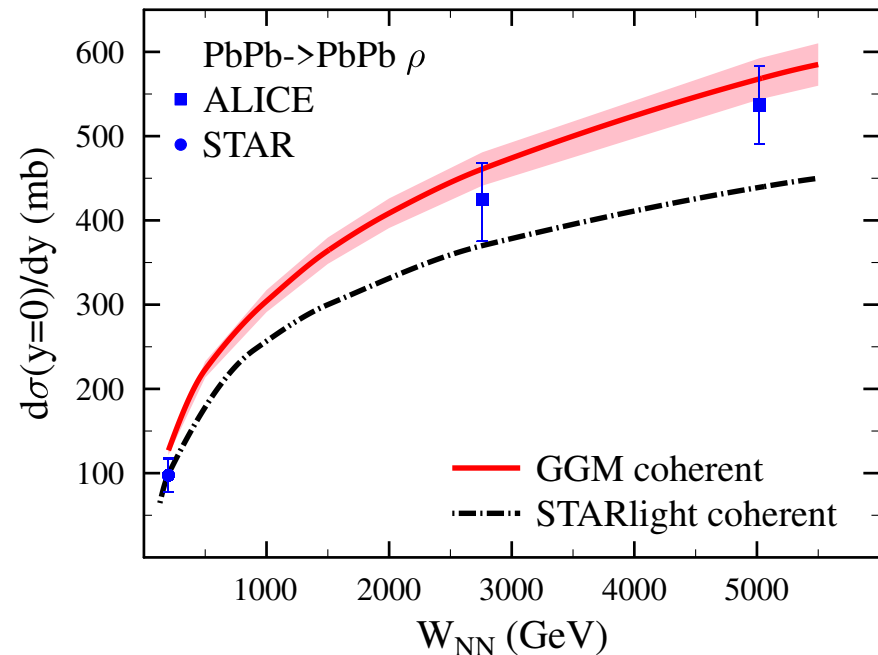
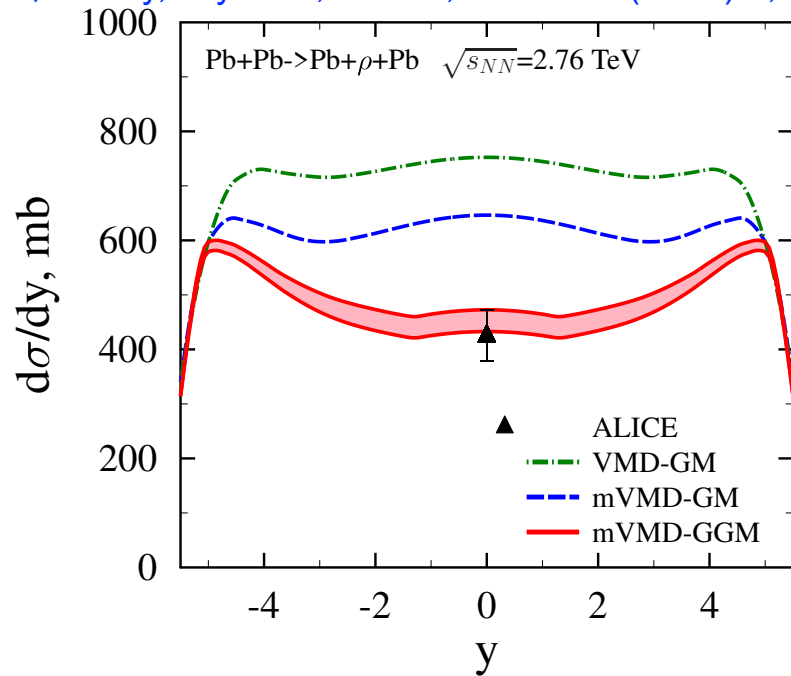


# Coherent $\rho$ photoproduction in heavy-ion UPCs

- The natural application/test of this formalism is coherent photoproduction of  $\rho$  mesons on nuclei

$$\sigma_{\gamma A \rightarrow \rho A} = \left( \frac{e}{f_\rho} \right)^2 \int d^2\mathbf{b} \left| \int d\sigma P_\rho(\sigma) \left( 1 - e^{-\frac{1}{2}\sigma T_A(b)} \right) \right|^2$$

- It generalizes VMD+Glauber model giving most of nuclear suppression **S<sup>2</sup>~0.17** by accounting for inelastic diffractive intermediate states leading to additional **30% Gribov shadowing** correction.
- Good agreement with RHIC and ALICE (Runs 1&2) data on coherent  $\rho$  photoproduction in Au-Au and Pb-Pb UPCs, [Frankfurt, Guzey, Strikman, Zhalov, PRB 752 \(2016\) 51; Guzey, Kryshen, Zhalov, PRC 102 \(2020\) 1, 015208](#)



# Cross section fluctuations for photons

- It is well known that real and virtual photons reveal their hadronic structure in strong interactions, e.g. VMD accounts for  $\sim 70\%$  of  $\sigma(\gamma p)$ .
- Two types of hadronic fluctuations: (i) aligned quark-antiquark pairs with asymmetric momentum sharing, small  $p_T$  and large  $\sigma \sim \sigma_{pN}$ , (ii) small- $\sigma$  perturbative dipoles. The relative importance of these two components depends on  $Q^2$  and  $M^*$  of the produced diffractive state, e.g.  $\rho$  vs.  $J/\psi$ .
- As in the case of  $p$ ,  $\pi$ ,  $\rho$ , it is convenient to introduce  $P(\sigma)$  for photons

$$\int d\sigma P_\gamma(\sigma) \sigma = \sigma_{\gamma p}(W),$$
$$\int d\sigma P_\gamma(\sigma) \sigma^2 = 16\pi \frac{d\sigma_{\gamma p \rightarrow Xp}(t=0)}{dt}$$

- $\int d\sigma P_\gamma(\sigma) = \infty$  due to infinite renormalization of photon Green's function.
- A model for  $P_\gamma(\sigma)$  should interpolate between the small- $\sigma$  (pQCD) and large- $\sigma$  (VMD) regimes.

# Cross section fluctuations for photons (2)

- For **small**  $\sigma$ , we used the dipole model by rewriting  $\sigma(\gamma p) = \int d^2r |\Psi_\gamma|^2 \sigma_{\text{dipole}}$  in terms of  $\sigma(\gamma p) = \int d\sigma P_\gamma(\sigma) \sigma$ , [Alvioli, Frankfurt, Guzey, Strikman, Zhalov, PLB 767 \(2017\) 450](#)

$$P_\gamma^{\text{dipole}}(\sigma) = \sum_q e_q^2 \left| \frac{\pi d\mathbf{r}^2}{d\sigma_{q\bar{q}}(r, m_q)} \right| \int dz |\Psi_\gamma(z, r(\sigma_{q\bar{q}}), m_q)|^2 \Big|_{\sigma_{q\bar{q}}(r, m_q) = \sigma}$$

$$\sigma_{q\bar{q}}(r, m_q) = \frac{\pi^2}{3} r^2 \alpha_s(Q_{\text{eff}}^2) x_{\text{eff}} g(x_{\text{eff}}, Q_{\text{eff}}^2) \quad \text{is the dipole cross section, } \textcolor{blue}{\text{McDermott, Frankfurt, Guzey, Strikman, Zhalov, EPJC 16 (2000) 641}}$$

$$|\Psi_T^f(r, Q, z)|^2 = \frac{3\alpha_{\text{em}}}{2\pi^2} e_f^2 \{ [z^2 + (1-z)^2] Q_f^2 K_1^2(Q_f r) + m_f^2 K_0^2(Q_f r) \} \quad \text{is the photon wf}$$

- For large  $\sigma$ , we approximate  $P_\gamma(\sigma)$  by  $P(\sigma)$  for  $\rho$  mesons +  $\omega$ ,  $\phi$  in SU(3) limit

$$P_{(\rho+\omega+\phi)/\gamma}(\sigma) = \frac{11}{9} \left( \frac{e}{f_\rho} \right)^2 P_\rho(\sigma)$$

- Smooth interpolation between small and large  $\sigma$ :

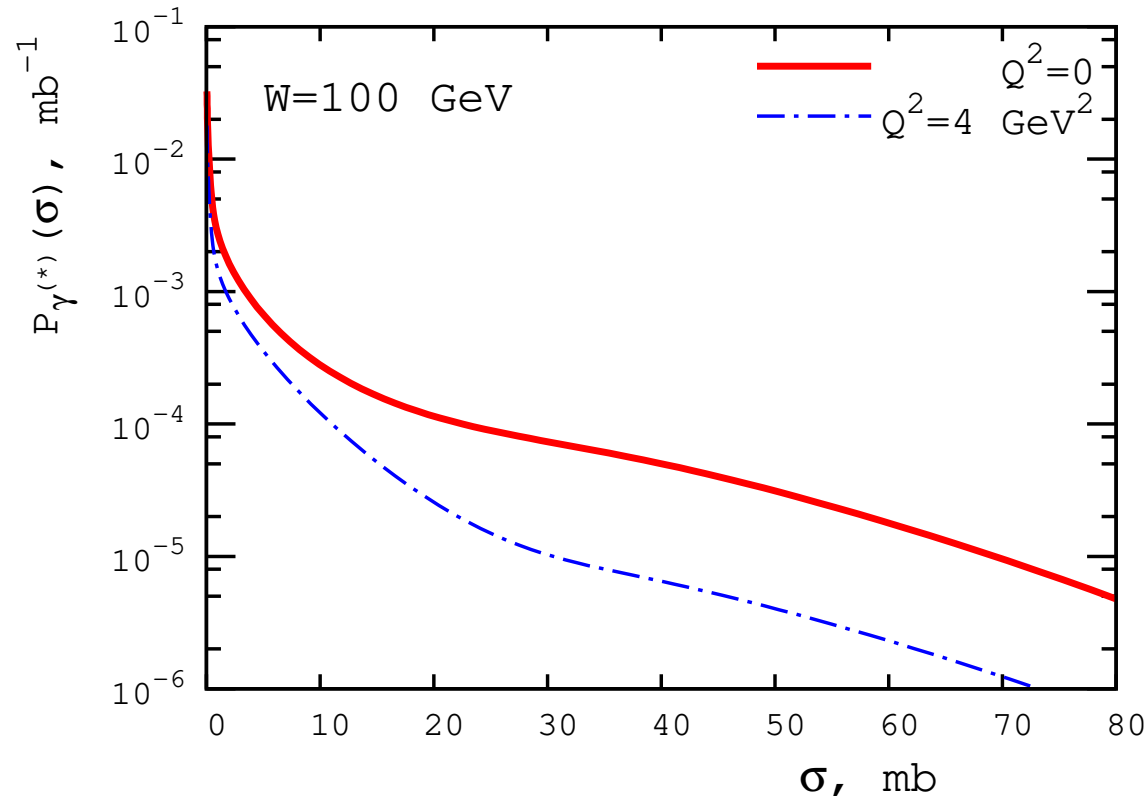
$$P_\gamma(\sigma, W) = \begin{cases} P_\gamma^{\text{dipole}}(\sigma, W), & \sigma \leq 10 \text{ mb}, \\ P_{\text{int}}(\sigma, W), & 10 \text{ mb} \leq \sigma \leq 20 \text{ mb} \\ P_{(\rho+\omega+\phi)/\gamma}(\sigma, W), & \sigma \geq 20 \text{ mb}. \end{cases}$$

# Cross section fluctuations for photons (3)

- For **virtual photons**, we used both T and L photon wf's for small- $\sigma$  and VMD for large- $\sigma$

$$P_{(\rho+\omega+\phi)/\gamma^*}(\sigma) = \frac{11}{9} \left( \frac{e}{f_\rho} \right)^2 \frac{m_\rho^2}{Q^2 + m_\rho^2} P_\rho(\sigma)$$

- Resulting  $P(\sigma)$  for real and virtual photons, [Frankfurt, Guzey, Stasto, Strikman, review submitted to ROPP](#)

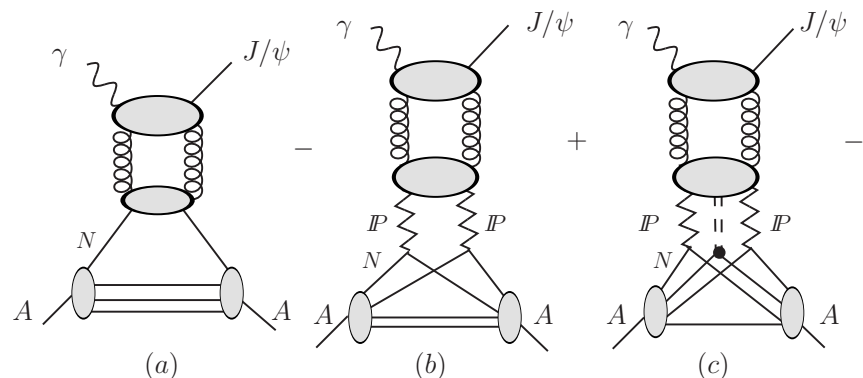


- The model for  $P_\gamma(\sigma)$  gives good description of  $\sigma(\gamma p)$  and  $d\sigma(\gamma p \rightarrow Xp)/dt(t=0)$ .



# Coherent $J/\psi$ photoproduction in heavy-ion UPCs

- Like coherent photoproduction of  $\rho$  mesons on nuclei probes  $P(\sigma)$  for  $\rho$  mesons, coherent  $J/\psi$  probes moments  $P_\gamma(\sigma)$ , Guzey, Strikman, Zhalov, EPJC 74 (2014) 7, 2942



$$\mathcal{M}_{\gamma A \rightarrow J/\psi A}(t=0) = \kappa \int_0^\infty d\sigma P(\sigma) \int d^2\mathbf{b} \left[ \frac{\sigma T_A(\mathbf{b})}{2} - \frac{\sigma^2 T_A^2(\mathbf{b})}{2^2 2!} + \frac{\sigma^3 T_A^3(\mathbf{b})}{2^3 3!} - \dots \right]$$

$$d\sigma_{\gamma p \rightarrow J/\psi p}^{\text{pQCD}}(t=0)/dt = \kappa^2 \langle \sigma \rangle^2 / (16\pi)$$

- Combining the Gribov-Glauber model of nuclear shadowing with collinear QCD factorization for hard diffraction, one has for the leading contribution to nuclear shadowing (interaction with 2 nucleons), Frankfurt, Strikman, EPJA 5 (1998) 293

$$\frac{\langle \sigma^2 \rangle}{\langle \sigma \rangle} \equiv \sigma_2(x, \mu^2) = \frac{16\pi B_{\text{diff}}}{(1 + \eta^2)x G_N(x, \mu^2)} \int_x^{0.1} dx_{\mathbb{P}} \beta G_N^{D(3)}(\beta, \mu^2, x_{\mathbb{P}}),$$

- Higher terms are summed using quasi-eikonal approximation assuming a single effective cross section  $\sigma_3$  calculated using  $P_\gamma(\sigma)$

$$\frac{\langle \sigma^3 \rangle}{\langle \sigma^2 \rangle} \equiv \sigma_3$$

$$\langle \sigma^N \rangle = \langle \sigma^2 \rangle \sigma_3^{N-2}$$

# Coherent $J/\psi$ photoproduction in heavy-ion UPCs(2)

- The resulting differential cross section is expressed in terms of the leading twist nuclear gluon shadowing, [Guzey, Strikman, Kryshen, Zhalov, PLB 726 \(2013\) 290](#); [Guzey, Zhalov, JHEP 10 \(2013\) 207](#)

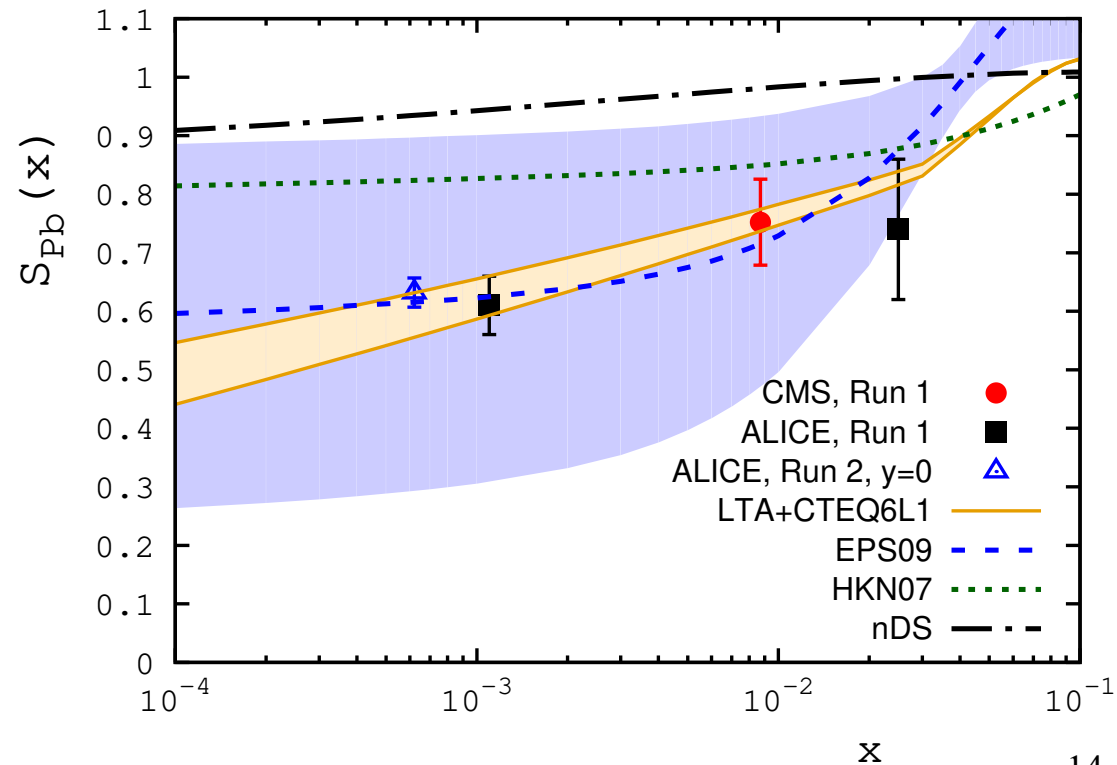
$$\sigma_{\gamma A \rightarrow J/\psi A}^{\text{LTA}}(W_{\gamma p}) = \frac{d\sigma_{\gamma p \rightarrow J/\psi p}^{\text{pQCD}}(W_{\gamma p}, t=0)}{dt} \left[ 1 - \frac{\sigma_2}{\sigma_3} + \frac{\sigma_2}{\sigma_3} \frac{\sigma_3^A}{A\sigma_3} \right]^2 \Phi_A(t_{\min})$$

$$= \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(W_{\gamma p}, t=0)}{dt} \left[ \frac{xg_A(x, \mu^2)}{Axg_N(x, \mu^2)} \right]^2 \int_{|t_{\min}|}^{\infty} dt |F_A(t)|^2$$

- The nuclear suppression factor

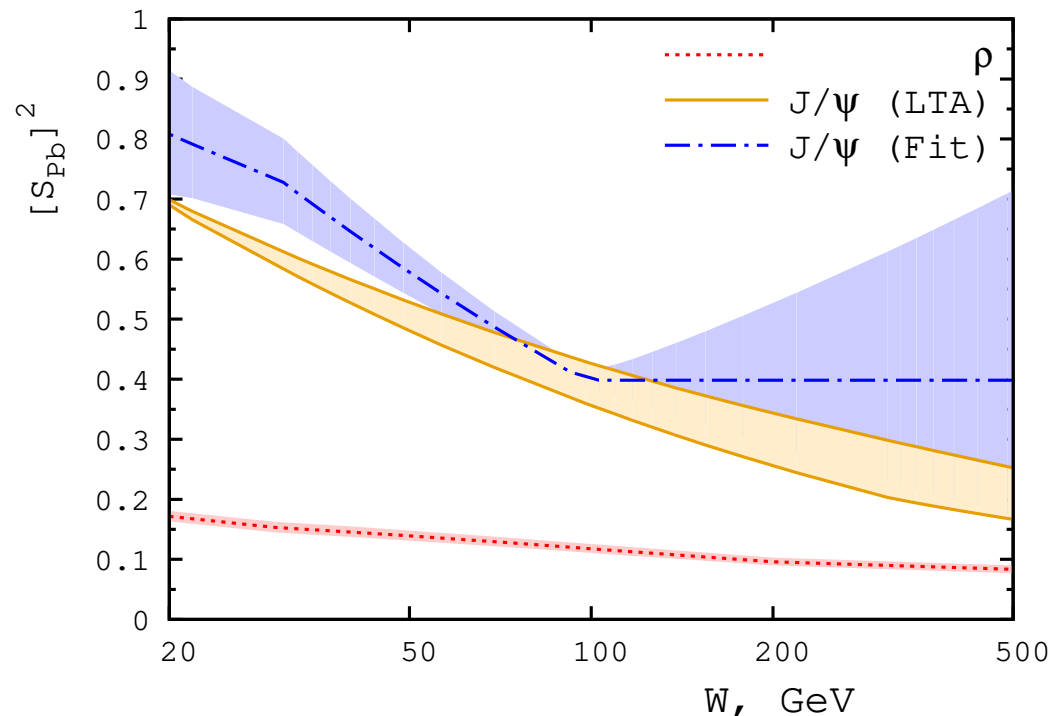
$$S_{Pb}(x) = \sqrt{\frac{\sigma_{\gamma A \rightarrow J/\psi A}(W_{\gamma p})}{\sigma_{\gamma A \rightarrow J/\psi A}^{\text{IA}}(W_{\gamma p})}} = \kappa_{A/N} \frac{xg_A(x, \mu^2)}{Axg_N(x, \mu^2)}$$

- Very nice agreement of ALICE (Runs 1&2) and CMS results with models predicting large nuclear gluon shadowing. In particular, with leading twist model of nuclear shadowing, [Frankfurt, Guzey, Strikman, Phys. Rept. 512 \(2012\)](#)



# Coherent $J/\psi$ photoproduction in heavy-ion UPCs(3)

- Good description of  $S_{Pb}$  is a consequence of large leading twist nuclear gluon shadowing originating from large probability of diffraction on the proton.
- **All** of shadowing comes from **inelastic Gribov shadowing** → compare to a significantly smaller suppression coming from small dipole-nucleus scattering,
- It is a generic feature of cross section fluctuations: relative contribution of inelastic shadowing compared to eikonal approximation grows with increase of  $\omega_\sigma$  (projectile size).
- Energy dependence of nuclear suppression for  $\rho$  and  $J/\psi$  photoprod. on Pb:



Frankfurt, Guzey, Stasto, Strikman,  
review submitted to ROPP

# Number of wounded nucleons in $\gamma A$ scattering

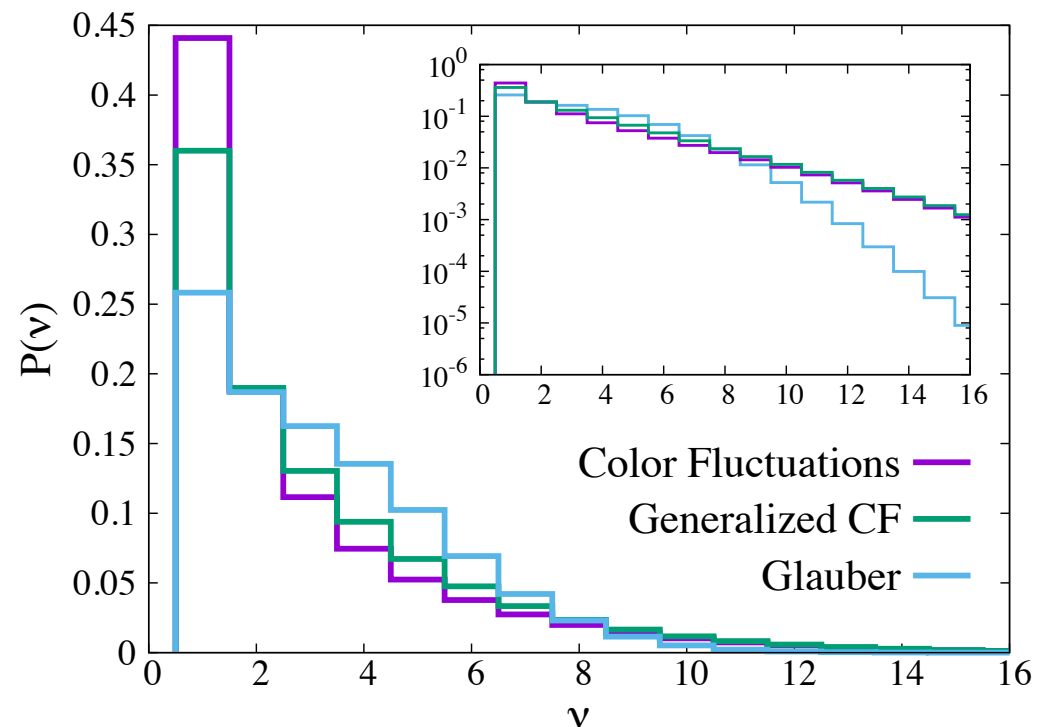
- Gribov-Glauber model for hadron-nucleus scattering is unitary and satisfies AGK cancellation  $\rightarrow$  cross section of physical process of **inelastic production** on  $\nu$  nucleons (wounded nucleons), Bertocchi, Treleani, J. Phys. G: Nucl. Phys. 3 (1977) 147
- Cross section fluctuations in the photon modify it, Alvioli, Frankfurt, Guzey, Strikman, Zhalov, PLB 767 (2017) 450

$$\sigma_\nu = \int d\sigma P_\gamma(\sigma, W) \binom{A}{\nu} \int d^2\vec{b} \left[ \frac{\sigma_{in}(\sigma) T_A(b)}{A} \right]^\nu \left[ 1 - \frac{\sigma_{in}(\sigma) T_A(b)}{A} \right]^{A-\nu}$$

- Probability distribution to have exactly  $\nu$  wounded nucleons

$$P(\nu, W) = \frac{\sigma_\nu}{\sum_1^\infty \sigma_\nu}$$

- Effect can be observed in distribution over transverse energy  $E_T$ .



# Summary

- Composite structure of hadronic projectiles ( $p$ ,  $\pi$ ,  $\rho$ ,  $\gamma$ ) can be conveniently accounted for using the formalism of cross section (color) fluctuations.
- In scattering off nuclei, it naturally gives rise to diffractive dissociation and inelastic Gribov shadowing correction.
- The latter plays an important role in coherent photoproduction of light vector mesons and quarkonia in heavy-ion UPCs and lead to a good agreement with the existing data.
- This also applies to incoherent  $\rho$  and  $J/\psi$  photoproduction on nuclei.
- Knowledge of the photon hadronic structure is needed for calculation of rapidity gap survival probability for the resolved photon contribution in diffractive dijet photoproduction in UPCs.
- Less explored are predictions for the number of wounded nucleons and the total  $\gamma A$  cross section, which can be studies during Run 3 at the LHC.